

Broadcom Ltd
Form 4/A
February 18, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SAMUELI HENRY

(Last) (First) (Middle)

**C/O AVAGO TECHNOLOGIES US
INC., 1320 RIDDER PARK DRIVE**

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Broadcom Ltd [AVGO]

3. Date of Earliest Transaction
(Month/Day/Year)
02/01/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)
02/03/2016

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

Chief Technical Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares, no par value ⁽¹⁾ <u>(2)</u>	02/01/2016		A	3,130 ⁽³⁾	A \$ 0 3,130	D	
Ordinary Shares, no par value ⁽¹⁾ <u>(2)</u>	02/01/2016		A	22,947 ⁽⁴⁾	A \$ 0 26,077	D	
Ordinary Shares, no par value ⁽¹⁾ <u>(2)</u>	02/01/2016		A	52,235 ⁽⁵⁾	A \$ 0 78,312	D	

Ordinary Shares, no par value <u>(1)</u> <u>(2)</u>	02/01/2016	A	<u>79,755</u> <u>(6)</u>	A	\$ 0	158,067	D
Ordinary Shares, no par value <u>(1)</u> <u>(2)</u>	02/01/2016	A	<u>66,980</u> <u>(7)</u>	A	\$ 0	225,047	D
Ordinary Shares, no par value <u>(1)</u> <u>(2)</u>	02/01/2016	A	<u>7,323</u> <u>(8)</u>	A	\$ 0	232,370	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SAMUELI HENRY C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131	X		Chief Technical Officer	

Signatures

/s/ Patricia McCall, Attorney-in-Fact for Henry Samueli

02/18/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment is being filed solely to report Restricted Stock Unit Awards ("RSUs") assumed by the Issuer in connection with the transactions consummated on February 1, 2016 pursuant to the terms of an Agreement and Plan of Merger, dated as of May 28, 2015, by and among the Issuer, Avago Technologies Limited ("Avago"), Broadcom Corporation ("Broadcom"), Broadcom Cayman L.P. (f/k/a Safari Cayman L.P.), Avago Technologies Cayman Holdings Ltd., Avago Technologies Cayman Finance Limited, Buffalo CS Merger Sub, Inc. ("CS Merger Sub") and Buffalo UT Merger Sub, Inc. ("UT Merger Sub," and, together with CS Merger Sub, the "Merger Subs"), pursuant to which (continued in Footnote 2)

(1) (a) the Issuer indirectly acquired Avago pursuant to the terms of a scheme of arrangement under Singapore law consummated in accordance with Section 210 of the Companies Act (Chapter 50) of Singapore, and (b) the Merger Subs merged with and into Broadcom, with Broadcom as the surviving corporation in such mergers of the Issuer, which were inadvertently omitted from the Reporting Person's Form 4 filing on February 3, 2016 (the "Original Form 4"). All other information contained in the Original Form 4 was correct and complete.

(2) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installments such that the RSU vests in full on February 5, 2016, subject to the Reporting Person's continuing service on each of the vesting dates.

(3) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2017, subject to the Reporting Person's continuing service on each of the vesting dates.

(4) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2018, subject to the Reporting Person's continuing service on each of the vesting dates.

(5) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2019, subject to the Reporting Person's continuing service on each of the vesting dates.

(6) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2020, subject to the Reporting Person's continuing service on each of the vesting dates.

(7) Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2021, subject to the Reporting Person's continuing service on each of the vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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