

Emergent BioSolutions Inc.
Form 4
March 12, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Havey Adam

(Last) (First) (Middle)

2273 RESEARCH BLVD., SUITE
400

(Street)

ROCKVILLE, MD 20853

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction
(Month/Day/Year)
03/11/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

EVP, Biodefense Division

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock ⁽¹⁾	03/11/2014		F	1,336 D	\$ 28.09	28,695	D
Common Stock ⁽¹⁾	03/11/2014		A	14,060 A	\$ 0	42,755 ⁽²⁾	D
Common Stock	03/11/2014		S	5,835 D	\$ 26.96	36,920	D
Common Stock	03/11/2014		M	4,887 A	\$ 15.83	41,807	D
Common Stock	03/11/2014		M	6,933 A	\$ 15.91	48,800	D

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Common Stock 03/11/2014 S 11,820 D \$ 26.96 36,920 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 28.09	03/11/2014		A	28,119	<u>(3)</u> 03/10/2021	Common Stock 28,119
Employee Stock Option (right to buy)	\$ 15.91	03/11/2014		M	6,933	<u>(4)</u> 03/08/2014	Common Stock 6,933
Employee Stock Option (right to buy)	\$ 15.83	03/11/2014		M	4,887	<u>(5)</u> 03/12/2019	Common Stock 4,887

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Havey Adam 2273 RESEARCH BLVD. SUITE 400 ROCKVILLE, MD 20853	EVP, Biodefense Divison

Signatures

/s/Eric Burt,
attorney-in-fact

03/12/2014

__Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of restricted stock units granted under the Amended and Restated Emergent BioSolutions Inc. 2006 Stock Incentive Plan.
These restricted stock units vest in three equal annual installments beginning on the first anniversary of the date of grant, assuming
- (2) continued service with the company. Each restricted stock unit represents the right of the Reporting Person to receive one share of common stock of Emergent BioSolutions Inc., subject to adjustment as provided in the grant agreement.
- (3) Vests in three equal installments on March 10, 2015, March 10, 2016 and March 10, 2017.
- (4) The option is fully vested.
- (5) The option will vest with respect to the remaining 4,887 shares on March 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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