Edgar Filing: STEPHENS JOHN JOSEPH - Form 4

STEPHENS JOHN JOSEPH Form 4 April 02, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).											
(Print or Type	Responses)										
STEPHENS JOHN JOSEPH Symbol					nd Ticker or Tra]	ding		Relationship of Reporting Person(s) to suer (Check all applicable)			
(Last)	(First)	e of Earliest Transaction h/Day/Year)				Director 10% Owner					
				29/2019				X_Officer (give title Other (specify elow) below) Sr. Exec. VP and CFO			
				ed(Month/Day/Year) App _X				Individual or Joint/Group Filing(Check plicable Line) _ Form filed by One Reporting Person _ Form filed by More than One Reporting rson			
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative Sec	urities	s Acquir	ed, Disposed of,	or Beneficially	Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	4. Securities An Disposed of (D (Instr. 3, 4 and Amount)	d (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/29/2019			A <u>(1)</u>	1,119.2602	A	\$ 31.36	144,541.940	9 I	By Benefit Plan	
Common Stock								6,042.7972	I	By 401(k)	
Common Stock								434,184	D		
Common Stock								163,302	Ι	By LP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3,	5	Date	7. Titl Amou Under Securi (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secut Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STEPHENS JOHN JOSEPH 208 S. AKARD STREET DALLAS, TX 75202	Sr. Exec. VP and CFO						
Signatures							
/s/ Stacey S. Maris, Secy., Attorney-in-fact		04/0)2/2019				

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents deferred stock units purchased by the reporting person with automatic payroll deductions and partial company matching contributions. Deferred stock units are settled only in stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.