Donofrio Paul M Form 4 March 05, 2019

# FORM 4

### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Expires: January 31, 2005

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * Donofrio Paul M			2. Issuer Name <b>and</b> Ticker or Trading Symbol BANK OF AMERICA CORP /DE/ [BAC]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last) 100 NORTH	(First) TRYON ST	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2019	Director 10% Owner Selection Other (specify below) Chief Financial Officer
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person
CHARLOTT	E, NC 28255	5		Form filed by More than One Reporting Person

	,			P	erson		
(City)	(State)	(Zip) Tab	le I - Non-l	Derivative Securities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	omr Disposed of (D)	Securities	Ownership	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)		Owned	Direct (D)	Ownership
					Following	or Indirect	(Instr 4)

(IIISu. 3)		any	Code (1)		(111811. 3, 4	and 3)		Delicitionity	D' (D)	
		(Month/Day/Year)	(Instr. 8)	)		(A)		Owned Following Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	
			Code V	V	Amount	or (D)	Price	(Instr 3 and 4)		
Common Stock	03/01/2019		M		229,762	A	\$ 0 (1)	736,913	D	
Common Stock	03/01/2019		F		122,280	D	\$ 29.31	614,633	D	
Common								300	I	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

**IRA** 

### Edgar Filing: Donofrio Paul M - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Securities Expi Acquired (A) or (Mo Disposed of (D)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Secur
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha		
2016 Performance Restricted Stock Units	(1)	03/01/2019		M	(11)	229,762	<u>(3)</u>	<u>(3)</u>	Common Stock	22		
2016 Performance Restricted Stock Units	(1)	03/01/2019		A	36,761		<u>(4)</u>	<u>(4)</u>	Common Stock	36		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Donofrio Paul M						

100 NORTH TRYON STREET CHARLOTTE, NC 28255

Chief Financial Officer

### **Signatures**

Paul M. Donofrio/Amanda D. Daniel POA

03/05/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (2) Disposition of shares to the issuer to satisfy a tax withholding obligation.
  - On February 12, 2016, the reporting person was granted units, subject to the Company's attainment of performance goals. One-half of the units have performance goals based on the Company's three year average return on assets and one-half of the units have performance
- (3) goals based on the Company's three year average growth in adjusted tangible book value, both beginning on January 1, 2016 and ending December 31, 2018. For the performance period, an amount equaling 116% of the target was earned. Of the 116% earned, 100% was settled in shares on March 1, 2019 and the remaining 16% will be settled in shares on March 1, 2021.
- (4) For the performance period beginning January 1, 2016 and ending December 31, 2018, an amount equaling 116% of the target was earned; the amount earned exceeding 100% resulted in an additional 36,761 units with a settlement date of March 1, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: Donofrio Paul M - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.