Bushman Julie L Form 4 February 11, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Bushman Julie L

> (First) (Middle)

3M CENTER

(Street)

3M CO [MMM]

3. Date of Earliest Transaction (Month/Day/Year) 02/07/2019

4. If Amendment, Date Original

Symbol

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ST. PAUL, MN 55144-1000

(City)	(State)	(Zip) Ta	ble I - Non	ı-Derivati	ve Sec	urities Acqu	ired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securion Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/07/2019		Code V	Amount 10	(D)	Price \$ 199.84	36,642.0024	D	
Common Stock	02/07/2019		S	449	D	\$ 199.85	36,193.0024	D	
Common Stock	02/07/2019		S	120	D	\$ 199.86	36,073.0024	D	
Common Stock	02/07/2019		S	700	D	\$ 199.87	35,373.0024	D	
Common Stock	02/07/2019		S	200	D	\$ 199.875	35,173.0024	D	

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Common Stock	02/07/2019	S	200	D	\$ 199.88	34,973.0024	D	
Common Stock	02/07/2019	S	100	D	\$ 199.91	34,873.0024	D	
Common Stock	02/07/2019	S	150	D	\$ 199.92	34,723.0024	D	
Common Stock	02/07/2019	S	529	D	\$ 199.93	34,194.0024	D	
Common Stock	02/07/2019	S	300	D	\$ 199.94	33,894.0024	D	
Common Stock	02/07/2019	S	15	D	\$ 199.95	33,879.0024	D	
Common Stock	02/07/2019	S	100	D	\$ 199.985	33,779.0024	D	
Common Stock	02/07/2019	S	100	D	\$ 199.99	33,679.0024	D	
Common Stock	02/07/2019	S	100	D	\$ 200	33,579.0024	D	
Common Stock	02/07/2019	S	50	D	\$ 200.01	33,529.0024 (1)	D	
Common Stock						844 (2)	I	by 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Non-qualified

\$ 78.72

02/07/2019

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y	Expiration Date		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S	

M

13,172 02/09/2011 02/07/2020 Common 13,

Stock Option (Right to Buy)

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bushman Julie L 3M CENTER ST. PAUL, MN 55144-1000

**Executive Vice President** 

**Signatures** 

/s/ Sheila B. Claugherty, attorney-in-fact for Julie L. Bushman

02/11/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to the 3M Voluntary Investment Plan.
- (3) This option became exercisable in equal installments on each of the first three anniversaries of the grant date (2/9/2010).

#### **Remarks:**

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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