

Daley Dorian
Form 4
July 05, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Daley Dorian

(Last) (First) (Middle)

C/O DELPHI ASSET MGMT CORPORATION, 5525 KIETZKE LAKE, SUITE 200

(Street)

RENO, NV 89511

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ORACLE CORP [ORCL]

3. Date of Earliest Transaction (Month/Day/Year)
07/02/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
EVP, Gen'l Counsel, Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	07/02/2018		M		20,313	A	\$ 0	57,267	D	
Common Stock	07/02/2018		F		10,072 (1)	D	\$ 44.06	47,195	D	
Common Stock	07/02/2018		M		20,312	A	\$ 0	67,507	D	
Common Stock	07/02/2018		F		10,071 (1)	D	\$ 44.06	57,436	D	
Common Stock	07/05/2018		M		50,000	A	\$ 30.11	107,436	D	

Edgar Filing: Daley Dorian - Form 4

Common Stock	07/05/2018	S	50,000 <u>(2)</u>	D	\$ 45.1251 <u>(3)</u>	57,436	D
-----------------	------------	---	----------------------	---	-----------------------------	--------	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
						Date Exercisable Expiration Date	Title Amount or Number of Shares
				Code	V (A) (D)		
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,313	<u>(5)</u> <u>(5)</u>	Common Stock 20,313
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,312	<u>(5)</u> <u>(5)</u>	Common Stock 20,312
Stock Option	\$ 30.11	07/05/2018		M	50,000	<u>(6)</u> 07/01/2023	Common Stock 50,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Daley Dorian C/O DELPHI ASSET MGMT CORPORATION 5525 KIETZKE LAKE, SUITE 200 RENO, NV 89511			EVP, Gen'l Counsel, Secretary	

Signatures

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Dorian Daley (POA filed 12/24/15) 07/05/2018

_____*Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld for payment of tax liability upon vesting of restricted stock units.

(2) Sale of shares pursuant to Rule 10b5-1 Plan adopted on May 11, 2018.

(3) This transaction was executed in multiple trades at prices ranging from \$45.00 to \$45.145. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(4) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.

(5) Restricted stock units vest in four equal annual installments, beginning one year from date of grant.

(6) Option vests 25% annually on anniversary of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.