Bengston Diane D. Form 3 March 09, 2018

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Bengston Diane D.

THE TRAVELERS

(Last) (First) (Middle)

(Street)

Statement

(Month/Day/Year)

03/01/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TRAVELERS COMPANIES, INC. [TRV]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable) COMPANIES, INC., Â 385 WASHINGTON STREET

10% Owner Director \_X\_\_ Officer Other

(give title below) (specify below) EVP & Chief HR Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

SAINT PAUL. MNÂ 55102

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

Â

or Indirect (I) (Instr. 5)

Common Stock 3,477.824 (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise Form of

6. Nature of Indirect Ownership Beneficial

Ownership

(Instr. 5)

Price of Derivative

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	02/04/2017	02/04/2024	Common Stock	6,899	\$ 80.35	D	Â
Stock Options (Right to Buy)	02/03/2018	02/03/2025	Common Stock	7,983	\$ 106.04	D	Â
Stock Options (Right to Buy)	02/02/2019	02/02/2026	Common Stock	9,502	\$ 106.03	D	Â
Stock Options (Right to Buy)	02/09/2020	02/09/2027	Common Stock	12,693	\$ 118.78	D	Â
Stock Options (Right to Buy)	02/06/2021	02/06/2028	Common Stock	14,902	\$ 140.85	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Bengston Diane D. THE TRAVELERS COMPANIES, INC. 385 WASHINGTON STREET SAINT PAUL Â MNÂ 55102	Â	Â	EVP & Chief HR Officer	Â	

### **Signatures**

/s/ Wendy C. Skjerven, by power of attorney 03/09/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 89 restricted stock units awarded on November 15, 2016 pursuant to the Company's Amended and Restated 2014 Stock Incentive Plan. Such restricted stock units will be settled in shares of common stock three years following the date of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2