#### SHEPHERD W CLYDE III

Form 4

February 16, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

Issuer

SHEPHERD W CLYDE III

Symbol FIDELITY SOUTHERN CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[LION]

(Month/Day/Year)

02/14/2018

(Last)

(Middle)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

3490 PIEDMONT ROAD, SUITE

(Street)

(First)

1550

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

ATLANTA, GA 30305

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) or

1.Title of Security (Instr. 3)

(Month/Day/Year)

Execution Date, if (Month/Day/Year)

3. TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I)

(A) or

(D)

Transaction(s) (Instr. 3 and 4)

Reported

(Instr. 4)

**Fidelity** Southern

Corporation 02/14/2018

Amount

871.4603 A

Code V

J(1)

Price

311,088.1096

- Common Stock

**Fidelity** 

Stock

Southern Corporation - Common

2,078

By Child -I Wm Clyde S Shepherd

I

39,998

1

### Edgar Filing: SHEPHERD W CLYDE III - Form 4

Fidelity Southern Corporation - Common Stock			By Family Foundation							
Fidelity Southern Corporation - Common Stock		5,784	I By Family Partnership							
Reminder: Report on a	separate line for each class of securities bene	ficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not required to respond unless the form	SEC 1474 (9-02)							
		displays a currently valid OMB control number.								
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security	e any (Month/Day/Year)	4. 5. 6. Date Exercisable and TransactionNumber Expiration Date Code of (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)							
		Date Exercisable Expiration Date  Code V (A) (D)	Amount or Number of Shares							
Stock Option (Right to Buy)  \$ 15.5	5	01/23/2015 <u>(2)</u> 01/23/20	Fidelity Southern  19 Corporation 6,000 - Common Stock							
Stock Option (Right to Buy) \$ 17.8		01/21/2017 <u>(3)</u> 01/21/20	Fidelity Southern 21 Corporation 10,000 - Common Stock							
Stock Option (Right to Buy)  \$ 22.9		01/19/2018 <u>(4)</u> 01/19/20	Fidelity Southern 22 Corporation 10,000 - Common Stock							

### Edgar Filing: SHEPHERD W CLYDE III - Form 4

Stock Option (Right to Buy)	\$ 23.75	01/18/2019 <sup>(5)</sup>	01/18/2023	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 16.82 (6)	03/19/2016	03/19/2020	Fidelity Southern Corporation - Common Stock	10,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHEPHERD W CLYDE III 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	X			

### **Signatures**

Brittany Caylor, Attorney in Fact for W. Clyde Shpherd III 02/16/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reinvested cash dividends received in the first quarter.
- (2) Exercisable: 1/3 on 1/23/2015; 1/3 on 1/23/2016; 1/3 on 1/23/2017
- (3) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (4) Exercisable 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020
- (5) Exercisable 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021
- (6) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3