Vale Michael G. Form 4 February 06, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Add Vale Michael	*	ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol 3M CO [MMM]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
3M CENTER			02/02/2018	_X_ Officer (give title Other (specify below)		
				Executive Vice President		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
ST. PAUL, M	N 55144-10	000	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) actiomr Disposed of (D) (Instr. 3, 4 and 5)  (A)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	02/02/2018		M	3,200	A	\$ 54.11	27,081.0099	D	
Common Stock	02/02/2018		S	3,200	D	\$ 246.98	23,881.0099	D	
Common Stock	02/02/2018		M	3,043	A	\$ 54.11	26,924.0099	D	
Common Stock	02/02/2018		S	443	D	\$ 246.89	26,481.0099	D	
Common Stock	02/02/2018		S	100	D	\$ 246.9	26,381.0099	D	

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Common Stock	02/02/2018	S	100	D	\$ 247.03	26,281.0099	D
Common Stock	02/02/2018	S	1,500	D	\$ 247.035	24,781.0099	D
Common Stock	02/02/2018	S	900	D	\$ 247.05	23,881.0099	D
Common Stock	02/02/2018	M	2,201	A	\$ 54.11	26,082.0099 (1) (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	i
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Non-qualified Stock Option (Right to Buy)	\$ 54.11	02/02/2018		M	3,200	02/10/2010	02/08/2019	Common Stock	3,20
Non-qualified Stock Option (Right to Buy)	\$ 54.11	02/02/2018		M	3,043	02/10/2010	02/08/2019	Common Stock	3,04
Non-qualified Stock Option (Right to Buy)	\$ 54.11	02/02/2018		M	2,201	02/10/2010	02/08/2019	Common Stock	2,20

# **Reporting Owners**

Relationships Reporting Owner Name / Address

2 Reporting Owners

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Director 10% Owner Officer Other

Vale Michael G. 3M CENTER

**Executive Vice President** 

ST. PAUL, MN 55144-1000

### **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Michael G. Vale

02/05/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.
- (2) Includes shares acquired pursuant to 3M's Dividend Reinvestment Plan.
- (3) This option became exercisable in equal installments on each of the first three anniversaries of the grant date (2/9/2009).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3