Edgar Filing: Keel Paul A - Form 4

| Keel Paul A Form 4 | | | | | | | | | | | |
|---|---|--|--------------------------------|--|---------------------------|--------------------------------------|---|--|--|---|----|
| December 04 | 4, 2017 | | | | | | | | | | |
| FORM | 14 UNITED | STATES | | | | | NGE | COMMISSIO | | PPROVAL 3235-028 | 27 |
| Check th | is box | | Wa | shingtor | , D.C. | 20549 | | | Number: | January 3 | |
| if no long subject to Section 1 Form 4 o Form 5 | AENT OI | | SECU | Estimated burden ho response | 200 average urs per |)5 | | | | | |
| obligatio may cont <i>See</i> Instru 1(b). | ns inue. Section 17(| a) of the I | Public U | | lding C | ompany | y Act | nge Act of 1934, of 1935 or Secti 940 | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and A Keel Paul A | ddress of Reporting | Person <u>*</u> | Symbol | er Name ar D [MMM] | | or Tradi | ng | 5. Relationship Issuer | | | |
| (Last) | (First) (A | Middle) | 3. Date of | of Earliest | Fransactio | on | | (Check all applicable) | | | |
| 3M CENTER | | | (Month/Day/Year) 12/01/2017 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) Senior Vice President | | | |
| | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| ST. PAUL, | MN 55144-1000 | | | | | | | Person | | 8 | |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivati | ve Secur | ities A | cquired, Disposed | of, or Beneficia | lly Owned | |
| | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transactio Code (Instr. 8) | Dispos | ed (A) or ed of (D) 3, 4 and 5 | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amour | (A) or nt (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Reminder: Rep | ort on a separate line | e for each cla | ass of sec | urities bene | eficially o | wned di | rectly of | or indirectly. | | | |
| | | | | | info req disp | ormatior uired to | n cont resp | spond to the colle cained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Pr |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriv |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Secu |

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| (Instr. 3) | Price of Derivative Security | (M | (Month/Day/Year) | (Instr. | 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | (In | |
|------------------------------|------------------------------------|------------|------------------|---------|----|---|-----|---------------------|--------------------|-----------------|--|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 12/01/2017 | | А | | 4,147 | | (2) | (2) | Common Stock | 4,147 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Keel Paul A 3M CENTER ST. PAUL, MN 55144-1000 | | | Senior Vice President | | | | | |
| Signatures | | | | | | | | |
| /s/ Sheila B. Claugherty, attorn Keel | 12/04/2017 | | | | | | | |
| <u>**</u> Signature of Reportin | ng Person | | Date | | | | | |
| | | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.

(2) The restricted stock units will vest 100% five years from the grant date of December 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.