## Edgar Filing: Sobbott Susan - Form 4

Form 4											
November 13, 2017 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). NUITED STATES SECURITIES AND EXCHANGE Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OW SECURITIES Filed pursuant to Section 16(a) of the Securities Exchan Section 17(a) of the Public Utility Holding Company Act of 19 30(h) of the Investment Company Act of 19					<b>LOW</b> Exchang y Act of	NERSHIP OF e Act of 1934, f 1935 or Section	PROVAL 3235-0287 January 31, 2005 werage rs per 0.5				
Sobbott Susan Symbol (Last) (First) (Middle) 3. Dat (Mont 200 VESEY STREET, AMERICAN EXPRESS TOWER 4. If A			<ol> <li>Issuer Name and Ticker or Trading Symbol</li> <li>AMERICAN EXPRESS CO [AXP]</li> <li>Date of Earliest Transaction (Month/Day/Year)</li> <li>11/09/2017</li> </ol>				ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) (Check all applicab			
							AXP]				
				mendment, Date Original Aonth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year	) Execution any	ned	3. Transactic Code (Instr. 8) Code V	4. Securi on(A) or D (Instr. 3, Amount	ties A ispose 4 and (A) or (D)	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 31,052.3861	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Stock Common	11/09/2017			S <u>(1)</u>	5,500	D	94.34	<u>(2)</u>	D	by 401(k)	
Stock								959.01 <u>(3)</u>	Ι	Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day, e	n Date An Day/Year) Un Sec		le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Sobbott Susan 200 VESEY STREET, AMERICAN EXPRESS TOWER NEW YORK, NY 10285-5001			Pres., Glbl Commercial Pymnts				
Signatures							
/s/ Tangela S. Richter, attorney-in-fact 11/13/2017							

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported disposition represents the sale of shares from restricted stock vestings and/or stock option exercises in prior years.

Date

- (2) Includes shares acquired pursuant to dividend reinvestment.
- (3) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.