

AMERICAN EXPRESS CO

Form 4

February 11, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BURNS URSULA M**

(Last) (First) (Middle)

**C/O AMERICAN EXPRESS  
COMPANY, 200 VESEY STREET**

(Street)

**NEW YORK, NY 10285-5001**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**AMERICAN EXPRESS CO [AXP]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/09/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/09/2016		J <sup>(1)</sup>	3,667 D <u>(1)</u>	16,333	D	
Common Stock	02/09/2016		J <sup>(1)</sup>	3,667 A <u>(1)</u>	3,667	I	Through the Ursula Burns Irrevocable Trust FBO Melissa Bean <sup>(2)</sup>
Common Stock	02/09/2016		J <sup>(3)</sup>	1,852 D <u>(3)</u>	14,481	D	
Common	02/09/2016		J <sup>(3)</sup>	1,852 A <u>(3)</u>	1,852	I	Through the

# Edgar Filing: AMERICAN EXPRESS CO - Form 4

Stock

Ursula Burns  
Irrevocable  
Trust FBO  
Malcolm  
Bean <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10%  
Owner Officer Other

BURNS URSULA M  
C/O AMERICAN EXPRESS COMPANY  
200 VESEY STREET  
NEW YORK, NY 10285-5001

X

## Signatures

/s/ Emily T. Epstein,  
attorney-in-fact

02/11/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition from settlor to the Ursula Burns Irrevocable Trust FBO Melissa Bean in substitution for cash held by the trust. The reporting person is the trustee and a member of her immediate family is a beneficiary of the trust.
- (2) The reporting person is a trustee of the Ursula Burns Irrevocable Trust FBO Melissa Bean.
- (3) Disposition from settlor to the Ursula Burns Irrevocable Trust FBO Malcolm Bean in substitution for cash held by the trust. The reporting person is the trustee and a member of her immediate family is a beneficiary of the trust.
- (4) The reporting person is a trustee of the Ursula Burns Irrevocable Trust FBO Malcolm Bean.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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