### Edgar Filing: AMERISOURCEBERGEN CORP - Form 4

#### AMERISOURCEBERGEN CORP

Form 4

November 13, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

Washington, D.C. 20549 Check this box

3235-0287 Number:

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

January 31, Expires: 2005

**SECURITIES** 

Estimated average burden hours per response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **COLLIS STEVEN H** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

[ABC]

AMERISOURCEBERGEN CORP

(Check all applicable)

(Last) (First)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

1300 MORRIS DRIVE 11/11/2015

President & CEO 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

3.

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

Issuer

CHESTERBROOK, PA 19087

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A)

Reported Transaction(s)

or

(Instr. 3 and 4) Code V Amount (D) Price

Common Stock

217,696

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	•	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amc Underlying Secu (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar Nu Sh
Stoc	-qualified ck Option tht to Buy)	\$ 98.24	11/11/2015		A	153,406	<u>(1)</u>	11/11/2022	Common Stock	15
	tricted k Units	<u>(2)</u>	11/11/2015		A	13,640	11/11/2018	11/11/2022	Common Stock	1

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
COLLIS STEVEN H						
1300 MORRIS DRIVE	X		President & CEO			
CHESTERBROOK, PA 19087						

# **Signatures**

John G. Chou for Steven H.
Collis

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exerciseable in four equal annual installments on 11/11/16, 11/11/17, 11/11/18 and 11/11/19
- (2) Annual grant of restricted stock units received for no consideration and vests 100% on the third anniversary of the date of grant Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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