## Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

#### HAVERTY FURNITURE COMPANIES INC

Form 4 May 12, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

**OMB APPROVAL** 

January 31,

2005

0.5

Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add Taylor Janet E	lress of Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol HAVERTY FURNITURE	5. Relationship of Reporting Person(s) to Issuer			
		COMPANIES INC [HVT]	(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% OwnerX_ Officer (give title Other (specify			
780 JOHNSON FERRY RD., SUITE 800		E 05/08/2014	below) below) Sr. VP, General Counsel			
	(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
ATI ANTA C	ZA 20242	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			

## ATLANTA, GA 30342-

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned
Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

(City)	(State)	(Zip) Tabl	e I - Non-D	)erivative	quired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	05/08/2014		M	4,900	A	\$ 0	22,053	D	
Common Stock	05/08/2014		M	1,000	A	\$ 0	23,053	D	
Common Stock	05/08/2014		M	1,050	A	\$ 0	24,103	D	
Common Stock	05/08/2014		M	1,000	A	\$ 0	25,103	D	
Common Stock	05/08/2014		F	2,654	D	\$ 25.37	22,449	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	05/08/2014		M		4,900	<u>(1)</u>	<u>(1)</u>	Common Stock	4,900
RSUs 2011-01-27	<u>(2)</u>	05/08/2014		M		1,000	(2)	(2)	Common Stock	1,000
RSUs 2012	(3)	05/08/2014		M		1,050	(3)	<u>(3)</u>	Common Stock	1,050
RSUs 2013	<u>(4)</u>	05/08/2014		M		1,000	<u>(4)</u>	<u>(4)</u>	Common Stock	1,000
PRSUs 2014	<u>(5)</u>						(5)	<u>(5)</u>	Common Stock	2,420
RSUs 2014	<u>(6)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	2,420
Stock Appreciation Rights	\$ 18.14						<u>(7)</u>	01/24/2020	Common Stock	7,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
T 1 I . F						

Taylor Janet E 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342-

Sr. VP, General Counsel

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## **Signatures**

Belinda J. Clements, Attorney-in-Fact

05/12/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in (1) each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon vesting.
- (2) Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.
- (3) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
  - Performance Restricted Stock Units ("PRSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. Each performance unit represents a contingent right to receive one share of the company's common stock. The performance units vest in February 2017
- (5) based on the company's EBITDA for the year ended December 31, 2014. The performance units reported represent target performance. The maximum number of performance units which may be earned is 160% of the target performance units, and the minimum number of performance units which may be earned is zero performance units.
- (6) Restricted Stock Units ("RSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2015. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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