## Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 5

### HAVERTY FURNITURE COMPANIES INC

Form 5

January 22, 2014

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer HAVERTY RAWSON JR Symbol HAVERTY FURNITURE (Check all applicable) COMPANIES INC [HVT] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director \_X\_\_ 10% Owner \_X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2013 Senior Vice President 780 JOHNSON FERRY RD., SUITE 800

4. If Amendment, Date Original

Filed(Month/Day/Year)

## ATLANTA, GAÂ 30342-

(Street)

\_X\_ Form Filed by One Reporting Person \_\_ Form Filed by More than One Reporting

6. Individual or Joint/Group Reporting

(check applicable line)

Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquirec Disposec (Instr. 3,	d (A) of d of (D) 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	09/20/2013	Â	W	2,331	A	\$0	92,782	D	Â	
Common Stock	09/20/2013	Â	W	700	A	\$ 0	700	D	Â	
Class A Common Stock	Â	Â	Â	Â	Â	Â	595,823 (1)	I	By H5, LP	

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Class A Common Stock	Â	Â	Â	Â	Â	Â	595,823 (1)	I	By Pine Hill Associates, LLC
Class A Common Stock	Â	Â	Â	Â	Â	Â	11,228	I	Co-ttee Of Tr Fbo Daughter
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,796	I	Co-ttee Of Tr Fbo Son
Common Stock	Â	Â	Â	Â	Â	Â	1,000	I	Co-ttee Of Tr Fbo Daughter
Common Stock	Â	Â	Â	Â	Â	Â	1,000	I	Co-ttee Of Tr Fbo Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriva Securit Acquit (A) or Dispos of (D) (Instr.	Number Expiration Date of (Month/Day/Ye Derivative Securities Acquired (A) or Disposed		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	Â	Â	Â	Â	Â	Â	(2)	(2)	Common Stock	4,900
RSUs 2011-01-27	Â	Â	Â	Â	Â	Â	(3)	(3)	Common Stock	2,500
RSUs 2012	Â	Â	Â	Â	Â	Â	(4)	(4)	Common Stock	3,750
RSUs 2013	Â	Â	Â	Â	Â	Â	(5)	(5)	Common Stock	4,500
Stock Appreciation Rights	\$ 18.14	Â	Â	Â	Â	Â	(6)	01/24/2020	Common Stock	8,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HAVERTY RAWSON JR 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GAÂ 30342-

X Â X Â Senior Vice President Â

# **Signatures**

Jenny H. Parker, Attorney-in-Fact

\*\*Signature of Reporting Person Dat

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes benefit
- (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.
- Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon vesting.
- (3) Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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