#### Edgar Filing: APPLIED MATERIALS INC /DE - Form 4/A

APPLIED MATERIALS INC /DE Form 4/A November 13, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and A HALLIDA	Symbol APPLI	2. Issuer Name <b>and</b> Ticker or Trading Symbol APPLIED MATERIALS INC /DE [AMAT]			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
INC., P.O.	(First) (N IED MATERIALS BOX 58039, 3050 AV, M/S 1268	(Month/I 5, 04/04/2	3. Date of Earliest Transaction (Month/Day/Year) 04/04/2013				Director 10% Owner XOfficer (give title Other (specify below) below) Senior Vice President & CFO		
SANTA CI (City)	(Street) LARA, CA 95054 (State)	Filed(Mo 04/08/2	nth/Day/Yea 2013	,		ties Acqu	6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by M Person	One Reporting Pe More than One Re	rson porting
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8) Code V	4. Securit on(A) or Dia (Instr. 3, 4) Amount	(A) (D)	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/04/2013		S	23,954	D	13.18 (1)	220,000 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**OMB APPROVAL** 

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	of		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
The forming of the transmission	Director	10% Owner	Officer	Other				
HALLIDAY ROBERT J C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV, M/S 120 SANTA CLARA, CA 95054	58		Senior Vice President & CFO					
Signatures								
/s/ Yvonne Leyba, 11/13/ Attorney-in-Fact	/2013							

<u>\*\*Signature of Reporting Person</u>

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.14 to \$13.22, inclusive. The reporting person undertakes to provide to Applied Materials, Inc., any security holder of Applied Materials, Inc., (1) or the staff of the Securities and Exchange Commission upon request full information regarding the number of shares sold at each

or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 1 to this Form 4.

Number of shares has been decreased in this amended Form 4 to reflect the fact that 220,000 shares (not 220,651 shares) should have been reported as held by Mr. Halliday on his Form 4 filed April 8, 2013. The decrease in the number of shares reflects a sale by Mr. Halliday on March 4, 2013 of 651 shares acquired under the Employees' Stock Purchase Plan. Number of shares consists of 220,000 performance shares previously reported that in the future will be converted on a one-for-one basis into shares of Applied Materials, Inc.

(2) common stock upon vesting, which vesting is scheduled to occur as follows: (a) 80,000 shares are scheduled to vest in installments during each November of 2013 and 2014; (b) 40,000 shares are scheduled to vest in installments during each January of 2014 through 2017; and (c) provided specified performance goals are achieved, 100,000 shares will be scheduled to vest in installments during each December of 2013 through 2016, which number of shares is the target amount, and the actual number of shares that may vest ranges from 0% to 150% of the target amount, depending on the achievement of specified performance goals (all vesting is subject to continued employment through each applicable vest date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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