

Lacey Roger HD  
Form 3  
January 06, 2010

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Lacey Roger HD		(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
3M CENTER			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
	(Street)		<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
ST. PAUL,Â MNÂ 55144-1000			<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Senior Vice President	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	22,222	D	Â
Common Stock	290	I	By 401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: Lacey Roger HD - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	3,279.346	\$ 0 <u>(2)</u>	D	Â
Incentive Stock Option (Right to Buy)	05/09/2001	05/07/2010	Common Stock	1,780	\$ 43.35	D	Â
Incentive Stock Option (Right to Buy)	05/08/2002	05/06/2011	Common Stock	1,704	\$ 58.625	D	Â
Non-qualified Stock Option (Right to Buy)	10/19/2004	05/06/2011	Common Stock	1,593	\$ 83.95	D	Â
Non-qualified Stock Option (Right to Buy)	05/12/2005	05/09/2014	Common Stock	23,339	\$ 84.4	D	Â
Non-qualified Stock Option (Right to Buy)	08/09/2005	05/13/2013	Common Stock	4,930	\$ 86.5	D	Â
Non-qualified Stock Option (Right to Buy)	08/10/2005	08/08/2014	Common Stock	3,334	\$ 80.05	D	Â
Non-qualified Stock Option (Right to Buy)	09/08/2005	05/06/2011	Common Stock	14,329	\$ 86.5	D	Â
Non-qualified Stock Option (Right to Buy)	05/10/2006	05/08/2015	Common Stock	17,043	\$ 76.8	D	Â
Non-qualified Stock Option (Right to Buy)	10/11/2006	05/13/2013	Common Stock	14,113	\$ 80.65	D	Â
Non-qualified Stock Option (Right to Buy)	05/09/2007	05/09/2016	Common Stock	17,693	\$ 87.35	D	Â
Non-qualified Stock Option (Right to Buy)	01/26/2008	05/07/2010	Common Stock	5,225	\$ 90.35	D	Â
Non-qualified Stock Option (Right to Buy)	01/26/2008	05/14/2012	Common Stock	19,476	\$ 90.35	D	Â
Non-qualified Stock Option (Right to Buy)	01/26/2008	05/13/2013	Common Stock	203	\$ 90.35	D	Â
Non-qualified Stock Option (Right to Buy)	01/26/2008	05/09/2014	Common Stock	6,154	\$ 90.35	D	Â
Non-qualified Stock Option (Right to Buy)	05/08/2008 <sup>(3)</sup>	05/08/2017	Common Stock	12,068	\$ 84.78	D	Â
Non-qualified Stock Option (Right to Buy)	05/13/2009 <sup>(4)</sup>	05/13/2018	Common Stock	13,164	\$ 77.18	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2010 <sup>(5)</sup>	02/08/2019	Common Stock	16,192	\$ 54.11	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lacey Roger HD 3M CENTER ST. PAUL, MN 55144-1000	Â	Â	Â Senior Vice President	Â

## Signatures

George Ann Biros, attorney-in-fact for Roger H.D. 01/06/2010  
Lacey

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units will vest 100% three years from the grant date (2/9/2009).
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (3) This option vests in three equal annual installments beginning one year from the grant date (5/8/2007).
- (4) This option vests in three equal annual installments beginning one year from the grant date (5/13/2008).
- (5) This option vests in three equal annual installments beginning one year from the grant date (2/9/2009).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.