

WACHOVIA CORP NEW
Form 3
June 26, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|--|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Clark Ranjana B | | (Month/Day/Year) | WACHOVIA CORP NEW [WB] | |
| (Last) | (First) | (Middle) | 06/19/2007 | |
| ONE WACHOVIA CENTER,Â 301 S. COLLEGE STREET | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | (Check all applicable) | | | |
| | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) (specify below) | |
| | | | SEVP & Chief Marketing Officer | |
| CHARLOTTE,Â NCÂ 28270 | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 27,736 ⁽¹¹⁾ | D | Â |
| Common Stock | 60.21 | I | 401K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|-----------------------------------|----------------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| ESOP (Right to Buy) <u>(1)</u> | 01/22/2000 ⁽²⁾ | 01/22/2009 | Common Stock | 5,498 | \$ 42.91 | D | Â |
| ESOP (Right to Buy) <u>(1)</u> | 01/28/2001 ⁽³⁾ | 01/28/2010 | Common Stock | 3,444 | \$ 32 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 04/16/2003 ⁽⁵⁾ | 04/16/2012 | Common Stock | 35,000 | \$ 37.98 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 04/22/2004 ⁽⁶⁾ | 04/22/2013 | Common Stock | 29,687 | \$ 37.43 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 04/19/2005 ⁽⁷⁾ | 04/19/2014 | Common Stock | 12,381 | \$ 44.65 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 04/18/2006 ⁽⁸⁾ | 04/18/2015 | Common Stock | 11,717 | \$ 50.38 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 03/31/2007 ⁽⁹⁾ | 03/31/2016 | Common Stock | 14,240 | \$ 56.05 | D | Â |
| ESOP (Right to Buy) <u>(4)</u> | 02/20/2008 ⁽¹⁰⁾ | 02/28/2017 | Common Stock | 7,055 | \$ 58.36 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Clark Ranjana B ONE WACHOVIA CENTER 301 S. COLLEGE STREET CHARLOTTE, NC 28270 | Â | Â | Â SEVP & Chief Marketing Officer | Â |

Signatures

Ranjana B. 06/26/2007
Clark

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one year is limited to \$100,000 dollars.
- (2) The option becomes exercisable in 20% increments on each of Jan. 22, 2000, 2001, 2002, 2003, and 2004 respectively.
- (3) The option becomes exercisable in 20% increments on each of Jan. 28, 2001, 2002, 2003, 2004 and 2005 respectively.

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- (4) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (5) The option vest in 3 equal installments beginning 4/16/2003.
- (6) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/22/2004.
- (7) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/29/2005.
- (8) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 4/18/2006.
- (9) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 3/31/2007.
- (10) The option becomes exercisable in substantially equal installments on each of the anniversary of the grant date (20%) beginning 2/20/2008.
- (11) Includes 16,386 shares of unvested restricted stock to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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