Edgar Filing: DUPONT E I DE NEMOURS & CO - Form 4

DUPONT E I DE NEMOURS & CO

Form 4

February 07, 2014

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549

3235-0287 Number:

January 31,

0.5

OMB APPROVAL

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

Expires:

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * CONNELLY THOMAS M JR | | 2. Issuer Name and Ticker or Trading Symbol | | | | ing | 5. Relationship of Issuer | 5. Relationship of Reporting Person(s) to Issuer | | |
|---|---|--|---|---|--|----------------------|------------------------------------|---|---|---|
| DUPON [DD] | | | NT E I DE NEMOURS & CO | | | | O (Cho | (Check all applicable) | | |
| (Last) 1007 MAR | (First) (KET STREET, D | Middle) 99000 | 3. Date of Earliest Transaction (Month/Day/Year) 02/05/2014 | | | below) | Officer (give title Other (specify | | | |
| | (Street) | | | endment, D nth/Day/Yea | U | al | | 6. Individual or Applicable Line) _X_ Form filed by | One Reporting | Person |
| WILMINGTON, DE 19898 Form filed by More than One Reporting Person | | | | | | | Reporting | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secu | rities A | cquired, Disposed | of, or Benefic | ially Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deen Execution any (Month/D | n Date, if | 3. Transaction Code (Instr. 8) | 4. SecuritonAcquired Disposed (Instr. 3, | (A) of (D) 4 and (A) |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | (Instr. 4) | |
| Common Stock | 02/05/2014 | | | A | 8,078 | A | \$ 0 | 187,652.6665 (1) | D | |
| Common Stock | | | | | | | | 1,829.7405 | I | DuPont Retirement Savings |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Plan

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|------------------|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Options (right to buy) NQOs | \$ 61.9 | 02/05/2014 | | A | 36,550 | 02/05/2015(2) | 02/04/2021 | Common Stock | 36,550 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|--------------------------------|-------|--|--|--|
| Troporous o mare runne / runn ess | Director | 10% Owner | Officer | Other | | | |
| CONNELLY THOMAS M JR 1007 MARKET STREET D9000 WILMINGTON, DE 19898 | | | Executive Vice President | | | | |

Signatures

Erik T. Hoover by Power of Attorney 02/07/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes direct ownership, unvested RSUs and vested deferred stock units.
- Options become exercisable in three substantially equal installments beginning on the first anniversary of the grant; option shares may be used to satisfy withholding taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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