**CARNIVAL PLC** 

Form 4 August 02, 2007

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average

burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

interest in

(Print or Type Responses)

DICKINSON ROBERT H

1. Name and Address of Reporting Person \*

		CARN	IVAL PL	C [CUK]			(Che	ck all applicat	ole)	
(Last)  C/O CARN: CORPORA AVE	,	(Month/ 01/08/	of Earliest T Day/Year) 2007	ransaction			X Director 10% Owner Officer (give title below) Other (specify below)			
MIAMI, FL	(Street)		endment, D onth/Day/Yea	Č	1		6. Individual or J Applicable Line) _X_ Form filed by _ Form filed by Person	One Reporting	Person	
(City)	(State)	(Zip) Tal	ole I - Non-l	Derivative	Secur	ities Acq	uired, Disposed (	of, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year		Code (Instr. 8)	4. Securitor(A) or Di (Instr. 3,	sposed 4 and : (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Shares (beneficial interest in special voting share) (1)	01/08/2007		A(2)	40,000	A	\$0	40,000	D		
Trust Shares (beneficial	01/08/2007		G(3)	40,000	D	\$0	0	D		

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special voting share) (1)								
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	G	40,000	A	\$ 0	282,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	5,000	D	\$ 44.29	277,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	1,000	D	\$ 44.34	276,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	2,000	D	\$ 44.5	274,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	2,000	D	\$ 44.64	272,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	1,500	D	\$ 44.72	270,500	I	By Dickinson Enterprises Limited Partnership
Trust Shares	01/08/2007	S	600	D	\$ 44.73	269,900	I	By Dickinson

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(beneficial interest in special voting share) (1)								Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	2,000	D	\$ 44.74	267,900	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share) (1)	01/08/2007	S	800	D	\$ 44.75	267,100	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share)	01/08/2007	S	100	D	\$ 44.76	267,000	I	By Dickinson Enterprises Limited Partnership
Trust Shares (beneficial interest in special voting share)	01/08/2007	S	5,000	D	\$ 44.85	262,000	I	By Dickinson Enterprises Limited Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)		onversion (Month/Day/Year) Execution Date, if Transa Exercise any Code		Transactio Code		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
(msu. 3)	Derivative Security		(Monar Day Tear)	(msu. o)	or Disposed of (D)				

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(Instr. 3, 4, and 5)

			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Stock Options (Right to buy)	\$ 44.445	01/08/2007	A <u>(4)</u>	:	80,000		01/08/2008(5)	01/08/2017	Trust Shares (beneficial interest in special voting share) (1)	80,00

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DICKINSON ROBERT H C/O CARNIVAL CORPORATION 3655 NW 87TH AVE MIAMI, FL 33178



## **Signatures**

Robert H. 01/08/2007

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to

- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (2) Grant of restricted stock made pursuant to the Carnival Corporation Amended and Restated 2002 Stock Plan and the terms of an Executive Long-Term Compensation Agreement. The restriction on the shares lapse on the fifth anniversary of the grant date.
- (3) Gift of restricted shares to the Dickinson Enterprises Limited Partnership.
- (4) Grant of stock options pursuant to the Carnival Corporation Amended and Restated 2002 Stock Plan and the terms of an Executive Long-Term Compensation Agreement.
- (5) Options vest ratably over five years beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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