Boutte Brian M. Form 3 May 03, 2019

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Boutte Brian M.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/29/2019

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

GRAFTECH INTERNATIONAL LTD [EAF]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

SVP, Commercial

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GRAFTECH INTERNATIONAL LTD., Â 982 KEYNOTE CIRCLE

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**BROOKLYN** HEIGHTS, OHÂ 44131

(City)

(Instr. 4)

1. Title of Security

(State)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form:

Table I - Non-Derivative Securities Beneficially Owned

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. Conversion or Exercise

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration Exercisable Date

Title Amount or Number of Derivative Security

Price of

Security: Direct (D)

#### Edgar Filing: Boutte Brian M. - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	04/19/2028	Common Stock	96,000	\$ 15	D	Â
Employee Stock Option (right to buy)	(2)	03/21/2029	Common Stock	25,000	\$ 13.36	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	25,000	\$ <u>(4)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
1	Director	10% Owner	Officer	Other			
Boutte Brian M. C/O GRAFTECH INTERNATIONAL LTD 982 KEYNOTE CIRCLE	. Â	Â	SVP, Commercial	Â			
BROOKLYN HEIGHTS. OH 44131							

### **Signatures**

/s/ James R. Warren, by power of attorney

05/03/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock options become exercisable in five equal annual installments. The first installment became exercisable on April 19, 2019.
- (2) The stock options become exercisable in five equal installments beginning on March 21, 2020.
- (3) The restricted stock units (RSUs) vest in five equal annual installments beginning on March 21, 2020.
- (4) Each RSU represents a contingent right to receive one share of EAF common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2