#### Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4/A

#### NATURAL HEALTH TRENDS CORP

Form 4/A

February 22, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

2005

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if no longer subject to

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Section 16.

January 31, Expires: Estimated average

**OMB APPROVAL** 

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response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

BROADY GEORGE K			2. Issuer I turne und Trener of Trueing				5. Relationship of Reporting Person(s) to Issuer			
			NHTC]	ALIH IKE	NDS		(Check	c all applicable	)	
(Last)	(First) (Mid	,	3. Date of Earliest Transaction (Month/Day/Year)			_	_X_ Director Officer (give t	titleOthe	Owner er (specify	
751 CANY	ON DRIVE, SUITE	E 100 11/01/2	2012			t	pelow)	below)		
	(Street)	4. If Am	endment, D	ate Original		$\epsilon$	6. Individual or Joi	int/Group Filin	g(Check	
		`	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
CODDELL	TV 75010	01/04/2	2013			-	_X_ Form filed by O Form filed by M			
COPPELL,	1X /3019					F	Person			
(City)	(State) (Z	Zip) Tab	le I - Non-	Derivative Sec	urities	Acqui	ired, Disposed of,	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date 2		3.			ed (A)	5. Amount of	6.	7. Nature of	
Security		Execution Date, if	Transaction Code	omr Disposed of (Instr. 3, 4 an			Securities Beneficially	Ownership Form:	Indirect Beneficial	
(Instr. 3)		any (Month/Day/Year)	(Instr. 8)	(IIISII. 5, 4 ali	u 3)		Owned	Direct (D)	Ownership	
	`	(	()				Following	or Indirect	(Instr. 4)	
					(A)		Reported	(I)		
					or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
C			Code V	Amount	(D)	Price	(			
Common Stock (1)	12/26/2012		G	2,419,750	D	\$0	122,560	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orderivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Stock (1)	<u>(2)</u>	12/26/2012		G	61,693	(2)	(2)	Common Stock	61,693

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BROADY GEORGE K
751 CANYON DRIVE, SUITE 100 X
COPPELL, TX 75019

### **Signatures**

/s/ George K. 02/22/2016 Broady

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Added transaction in which reporting person transferred shares to trust of which the reporting person is not a beneficial owner. Holdings reported in subsequent Forms 4 are deemed amended by subtracting the number of shares so transferred.
- The Series A Convertible Preferred Stock has no expiration date. The Series A Convertible Preferred Stock is subject to mandatory conversion if the average closing price of NHTC Common Stock over a consecutive 6-month period equals or exceeds \$10.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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