ARES CAPITAL CORP Form 8-K December 11, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, DC 20549** 

## FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported) December 5, 2013

## ARES CAPITAL CORPORATION

(Exact Name of Registrant as Specified in Charter)

Maryland (State or Other Jurisdiction of Incorporation) **814-00663** (Commission File Number)

33-1089684 (IRS Employer Identification No.)

**245 Park Avenue, 44th Floor, New York, NY** (Address of Principal Executive Offices)

**10167** (Zip Code)

Registrant s telephone number, including area code (212) 750-7300

(Former Name or Former Address, if Changed Since Last Report)

| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions ( <i>see</i> General Instruction A.2. below): |  |
|--|--|
| o  | Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)                  |
| o  | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)                 |
| o  | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) |
| o  | Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) |
|  |  |

# <u>Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.</u>

On December 5, 2013, the registrant s board of directors appointed Scott C. Lem, Vice President and Treasurer of the registrant, as Chief Accounting Officer of the registrant, effective immediately. Mr. Lem will also continue to serve as Vice President and Treasurer of the registrant.

Mr. Lem, 36, has served as Vice President and Treasurer of the registrant since May 2013. Mr. Lem previously served as Assistant Treasurer of the registrant from May 2009 to May 2013. He joined Ares Management LLC ( Ares Management ) in July 2003 and currently serves as Chief Accounting Officer of Ares Capital Management LLC, the registrant s investment adviser ( ACM ), and may from time to time serve as an officer, director or principal of entities affiliated with Ares Management or of investment funds managed by Ares Management and its affiliates. ACM is a wholly owned subsidiary of Ares Management. From July 2003 to December 2008, Mr. Lem served as Controller of Ares Management. Previously, Mr. Lem was with Ernst & Young LLP and Arthur Andersen LLP, most recently as a Senior Associate conducting audits for clients across several industries including entertainment, hospitality and real estate. Mr. Lem graduated summa cum laude with a BS in Accounting from the University of Southern California s Leventhal School of Accounting and summa cum laude with a BS in Business Administration from the University of Southern California s Marshall School of Business. Mr. Lem has also received an MBA in Finance from UCLA s Anderson School of Management. Mr. Lem is a Certified Public Accountant (Inactive).

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ARES CAPITAL CORPORATION

Date: December 11, 2013

By: /s/ Penni F. Roll Name: Penni F. Roll

Title: Chief Financial Officer

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