

TIVO INC
Form 4
September 04, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Klugman Jeffrey L

(Last) (First) (Middle)
2160 GOLD STREET
(Street)

ALVISO, CA 95002

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TIVO INC [TIVO]

3. Date of Earliest Transaction
(Month/Day/Year)
09/03/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

EVP Product & Revenue

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	09/03/2013		M	425	A \$ 7.24	195,676	D
Common Stock	09/03/2013		S ⁽¹⁾	425	D \$ 11.97	195,251	D
Common Stock	09/03/2013		M	2,421	A \$ 7.49	197,672	D
Common Stock	09/03/2013		S ⁽¹⁾	2,421	D \$ 11.97	195,251	D
Common Stock	09/03/2013		M	760	A \$ 7.49	196,011	D

Edgar Filing: TIVO INC - Form 4

Common Stock	09/03/2013	S ⁽¹⁾	760	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	5,247	A	\$ 8.94	200,498	D
Common Stock	09/03/2013	S ⁽¹⁾	5,247	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	735	A	\$ 8.94	195,986	D
Common Stock	09/03/2013	S ⁽¹⁾	735	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	2,602	A	\$ 6.18	197,853	D
Common Stock	09/03/2013	S ⁽¹⁾	2,602	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	1,157	A	\$ 6.18	196,408	D
Common Stock	09/03/2013	S ⁽¹⁾	1,157	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	558	A	\$ 7.24	195,809	D
Common Stock	09/03/2013	S ⁽¹⁾	558	D	\$ 11.97	195,251	D
Common Stock	09/03/2013	M	34	A	\$ 6.06	195,285	D
Common Stock	09/03/2013	S ⁽¹⁾	34	D	\$ 11.97	195,251	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
--	--	--------------------------------------	--	--------------------------------	---	--	---

Edgar Filing: TIVO INC - Form 4

					Date Exercisable	Expiration Date	Title	Amo or Num of Share
			Code	V (A) (D)				
Incentive Stock Option (right to buy)	\$ 6.06	09/03/2013	M	34	05/22/2005	04/22/2015	Common Stock	34
Incentive Stock Option (right to buy)	\$ 6.18	09/03/2013	M	1,157	04/21/2007	03/21/2017	Common Stock	1,157
Incentive Stock Option (right to buy)	\$ 7.24	09/03/2013	M	558	04/29/2006	03/29/2016	Common Stock	558
Incentive Stock Option (right to buy)	\$ 7.49	09/03/2013	M	760	07/23/2010	06/22/2017	Common Stock	760
Incentive Stock Option (right to buy)	\$ 8.94	09/03/2013	M	735	04/26/2008	03/26/2018	Common Stock	735
Non-Qualified Stock Option (right to buy)	\$ 6.18	09/03/2013	M	2,602	04/21/2007	03/21/2017	Common Stock	2,602
Non-Qualified Stock Option (right to buy)	\$ 7.24	09/03/2013	M	425	04/29/2006	03/29/2016	Common Stock	425
Non-Qualified Stock Option (right to buy)	\$ 7.49	09/03/2013	M	2,421	07/23/2010	06/22/2017	Common Stock	2,421
Non-Qualified Stock Option (right to buy)	\$ 8.94	09/03/2013	M	5,247	04/26/2008	03/26/2018	Common Stock	5,247

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Klugman Jeffrey L 2160 GOLD STREET ALVISO, CA 95002			EVP Product & Revenue	

Signatures

By: Attorney-in-Fact Sheryl Andersen For: Jeffrey L. Klugman 09/04/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This was an automatic disposition of shares pursuant to a 10b5-1 plan, as defined under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.