

BATTLE A GEORGE
Form 4
May 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BATTLE A GEORGE

2. Issuer Name and Ticker or Trading Symbol
NETFLIX INC [NFLX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
100 WINCHESTER CIRCLE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/01/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

LOS GATOS, CA 95032

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	05/01/2018		M		3,269 (1)	A	\$ 7.64 3,269 D
Common Stock	05/01/2018		M		2,870 (1)	A	\$ 8.7186 6,139 D
Common Stock	05/01/2018		M		2,506 (1)	A	\$ 9.9571 8,645 D
Common Stock	05/01/2018		M		1,355 (1)	A	\$ 10.7143 10,000 D
Common Stock	05/01/2018		S		1,813 (1)	D	\$ 307.7553 8,187 D (2)

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Common Stock	05/01/2018	S	1,526 <u>(1)</u>	D	\$ 308.802 <u>(3)</u>	6,661	D	
Common Stock	05/01/2018	S	2,974 <u>(1)</u>	D	\$ 309.8163 <u>(4)</u>	3,687	D	
Common Stock	05/01/2018	S	2,400 <u>(1)</u>	D	\$ 310.9267 <u>(5)</u>	1,287	D	
Common Stock	05/01/2018	S	800 <u>(1)</u>	D	\$ 311.815 <u>(6)</u>	487	D	
Common Stock	05/01/2018	S	487 <u>(1)</u>	D	\$ 312.9339 <u>(7)</u>	0	D	
Common Stock						56,000	I	by Trust <u>(8)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 7.64	05/01/2018		M	3,269 <u>(1)</u>	01/04/2010 01/04/2020	Common Stock 3
Non-Qualified Stock Option (right to buy)	\$ 8.7186	05/01/2018		M	2,870 <u>(1)</u>	02/01/2010 02/01/2020	Common Stock 2
Non-Qualified Stock Option (right to buy)	\$ 9.9571	05/01/2018		M	2,506 <u>(1)</u>	03/01/2010 03/01/2020	Common Stock 2

Non-Qualified Stock Option (right to buy)	\$ 10.7143	05/01/2018	M	1,355 <u>(1)</u>	04/01/2010	04/01/2020	Common Stock	1,
Non-Qualified Stock Option (right to buy)	\$ 313.3	05/01/2018	A	199	05/01/2018	05/01/2028	Common Stock	1

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATTLE A GEORGE 100 WINCHESTER CIRCLE LOS GATOS, CA 95032		X		

Signatures

By: Carole Payne, Authorized Signatory For: George A. Battle 05/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
This transaction was executed in multiple trades at prices ranging from \$307.34 to \$308.30. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This transaction was executed in multiple trades at prices ranging from \$308.35 to \$309.34. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction was executed in multiple trades at prices ranging from \$309.38 to \$310.35. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$310.42 to \$311.37. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) This transaction was executed in multiple trades at prices ranging from \$311.60 to \$311.95. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) This transaction was executed in multiple trades at prices ranging from \$312.60 to \$313.12. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (7) As a Trustee of the A. George Battle 2011 Separate Property Trust.
- (8)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.