#### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

### AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 May 04, 2010

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

may continue.

1. Name and Address of Reporting Person \* DAUCH RICHARD E

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

AMERICAN AXLE & MANUFACTURING HOLDINGS

INC [AXL]

\_X\_ Director

X\_\_ 10% Owner \_ Other (specify

05/03/2010

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Officer (give title below) Co-Founder, Chairman & CEO

ONE DAUCH DRIVE

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

DETROIT, MI 48211-1198

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative :	Securi	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/03/2010		S(1)	15,000	D	\$ 10.87	7,509,643	I	By Family Trusts
Common Stock	05/03/2010		S <u>(1)</u>	5,900	D	\$ 10.91	7,503,743	I	By Family Trusts
Common Stock	05/03/2010		S <u>(1)</u>	10,600	D	\$ 10.92	7,493,143	I	By Family Trusts
Common Stock	05/03/2010		S <u>(1)</u>	24,150	D	\$ 10.95	7,468,993	I	By Family Trusts
Common Stock	05/03/2010		S(1)	21,925	D	\$ 10.97	7,447,068	I	By Family Trusts

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Common Stock	05/03/2010	S(1)	27,175	D	\$ 10.98	7,419,893	I	By Family Trusts
Common Stock	05/03/2010	S(1)	20,900	D	\$ 10.99	7,398,993	I	By Family Trusts
Common Stock	05/03/2010	S(1)	11,300	D	\$ 11	7,387,693	I	By Family Trusts
Common Stock	05/03/2010	S(1)	8,914	D	\$ 11.01	7,378,779	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	12,200	D	\$ 11.02	7,366,579	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	8,578	D	\$ 11.03	7,358,001	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	5,000	D	\$ 11.04	7,353,001	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	10,737	D	\$ 11.05	7,342.264	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	763	D	\$ 11.07	7,341,501	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	3,600	D	\$ 11.08	7,337,901	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	9,300	D	\$ 11.09	7,328,601	I	By Family Trusts
Common Stock	05/03/2010	S <u>(1)</u>	11,958	D	\$ 11.1	7,316,643	I	By Family Trusts

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)		Title		

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Date Expiration Exercisable Date

or Number of Shares

Amount

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DAUCH RICHARD E

ONE DAUCH DRIVE X X Co-Founder, Chairman & CEO

DETROIT, MI 48211-1198

# **Signatures**

Laura L. Douglas, Attorney-in-fact 05/04/2010

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Sandra J. Dauch Gift Trust DTD May 25, 1998, Richard E. Dauch, Trustee, on May 3, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3