

LINDNER CARL H

Form 4

March 04, 2003

FORM 4		U.S. SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549		OMB APPROVAL	
☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations continue. See Instruction 1(b)		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utilities Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940		OMB Number : 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type Responses)					
1. Name and Address of Reporting Person		2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting Person to Issuer	
Lindner Carl H.		AMERICAN FINANCIAL GROUP, INC. (AFG)		(Check all applicable)	
(Last)	(First)	3. IRS Identification Number, if an entity (Voluntary)	4. Statement for Month/Day/Year	☒ Director	☐ 10% Owner
One East Fourth Street			March 3, 2003	☒ Officer (give title below)	☐ Other (specify below)
(Street)		5. If Amendment	Date of Original (Month/Day/Year)	- <u>Chairman of the Board</u> <u>& Chief Executive Officer</u>	
Cincinnati, Ohio 45202				7. Individual or Joint/Group Filing	
(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of or Beneficially Owned		(Check Applicable Line)	
				☒ Form filed by One Reporting Person	
				☐ Form filed by More than One Reporting Person	
				☐ Form filed by One Reporting Person	
				☐ Form filed by More than One Reporting Person	

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1. Title of Security (Instr. 3)	2. Trans- action Date	2A. Deemed Execution Date, if any	3. Trans- action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3,4 and 5)			5. Amount of Securities Beneficially Owned	6. Own- ership Form: Direct	7. Nature of In- Direct Bene-
	(Month/ Day/ Year)	(Month/ Day/ Year)	Code	V	Amount	(A) or (D)	Price	Following Reported Transaction (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Ficial Own- ership (Instr. 4)
Common Stock								-0-	D	
Common Stock	3/3/03		P		18,800	A	\$18.80	714,422.77	I	#1
Common Stock							4	073,443.79	I	#2
Common Stock								-0-	I	#5
Common Stock							2	682,361.56	I	#6
Common Stock								-0-	I	#7
Common Stock								537,779	I	#8

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

Over
*If the form is filed by more than one reporting person, see Instruction 4(b)(v)

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FORM 4 (continued)						Table II - Derivative Securities Acquired, Disposed of, or B						
(e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date	3A Deemed Execution Date, if any	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date (Month//Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security	9. Number of Derivative Securities Benefici
	Security	(Month/Day/Year)	(Month/Day/Year)			Date	Expiration		Amount or	(Instr. 5)	icially Owned at End of	
				Code	V	(A)	(D)	Exercisable	Date	Title	Number of Shares	Month (Instr. 4)

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Explanation of Responses:

Indirect #1 By Carl H. Lindner Jr., et al, Trustees for the Carl H. Lindner Amended and Restated Family Trust dated 12-23-83.
Indirect #2 By Edyth B. Lindner, Spouse.
Indirect #5 By Joseph A. Pedeto, Trustee of the Edyth B. Lindner 1996-1 Qualified Annuity Trust U/A dated 11/6/96.
Indirect #6 By Lou Ann Flint, Trustee of the Edyth B. Lindner 2002-2 Qualified Annuity Trust U/A dated 8/19/02.
Indirect #7 By Joseph A. Pedeto, Trustee of the Edyth B. Lindner 1999-1 Qualified Annuity Trust U/A dated 12/22/99.
Indirect #8 Indiana Premier Fund, LLC, a limited liability company directly or indirectly wholly-owned by the Reporting Person.

**Intentional misstatements or omissions of facts constitute Federal Criminal violations
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

Karl J. Grafe
March 4, 2003
** Signature of Reporting Person

Date

Carl H. Lindner
By: Karl J. Grafe, as attorney-in-fact

Note: File three copies of this Form, one of which must be manually signed

If space provided is insufficient, *see* Instruction 6 for procedure

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