

Norregaard Michael
Form 3
March 14, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Norregaard Michael

(Last) (First) (Middle)

222 WEST WASHINGTON AVE

(Street)

MADISON,Â WIÂ 53703

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/04/2019

3. Issuer Name **and** Ticker or Trading Symbol
SONIC FOUNDRY INC [SOFO]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other
(give title below) (specify below)

Chief Operating Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

5,000

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Common Stock Option	04/15/2013	04/15/2023	Common Stock	5,000	\$ 6.74	D	Â
Employee Common Stock Option	Â <u>(1)</u>	11/10/2024	Common Stock	2,500	\$ 9.36	D	Â
Employee Common Stock Option	Â <u>(2)</u>	11/10/2025	Common Stock	2,500	\$ 7.33	D	Â
Employee Common Stock Option	Â <u>(3)</u>	12/27/2026	Common Stock	2,500	\$ 4.75	D	Â
Employee Common Stock Option	Â <u>(4)</u>	01/17/2028	Common Stock	11,250	\$ 2.49	D	Â
Employee Common Stock Option	Â <u>(5)</u>	12/24/2018	Common Stock	11,250	\$ 0.66	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Norregaard Michael 222 WEST WASHINGTON AVE MADISON, WI 53703	Â	Â	Â Chief Operating Officer	Â

Signatures

Michael
Norregaard

03/14/2019

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) (1) 833 exercisable 11/10/2015 and 11/10/2016, 834 exercisable 11/10/2017

(2) (2) 833 exercisable 11/10/2016 and 11/10/2017, 834 exercisable 11/10/2018

(3) (3) 833 exercisable 12/27/2017 and 12/27/2018, 834 exercisable 12/27/2019

(4) (4) 3,750 exercisable at 1/17/2019, 1/17/2020 and 1/17/2021

(5) (5) 3,750 exercisable at 12/24/2019, 12/24/2020 and 12/24/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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