#### Edgar Filing: AMERICAN RIVER BANKSHARES - Form 4

#### AMERICAN RIVER BANKSHARES

Form 4 May 27, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TABER DAVID T

2. Issuer Name and Ticker or Trading

Issuer

Symbol

AMERICAN RIVER

(Check all applicable)

(Last)

(First)

(Middle)

BANKSHARES [AMRB] 3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

POST OFFICE BOX 1009

(Month/Day/Year) 05/22/2014

below) below) President and CEO

4. If Amendment, Date Original

3.

A

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SHINGLE SPRINGS, CA 95682

(Street)

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Indirect (I) Owned Following (Instr. 4) Reported

Transaction(s) (Instr. 3 and 4)

(A) or Code V Amount (D) Price

Α

Common Stock (1)

05/22/2014

05/22/2014

(Month/Day/Year)

5,062

\$0 148,854 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Indirect

Beneficial

Ownership

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Option/Right to Buy	\$ 8.85	05/22/2014	05/22/2014	A	3,671	05/22/2015	05/22/2024	Common Stock	0
Option/Right to Buy	\$ 8.85	05/22/2014	05/22/2014	A	3,672	05/22/2016	05/22/2024	Common Stock	0
Option/Right to Buy	\$ 8.85	05/22/2014	05/22/2014	A	3,672	05/22/2017	05/22/2024	Common Stock	0
Option/Right to Buy	\$ 8.85	05/22/2014	05/22/2014	A	3,672	05/22/2018	05/22/2024	Common Stock	0
Option/Right to Buy	\$ 8.85	05/22/2014	05/22/2014	A	3,672	05/22/2019	05/22/2024	Common Stock	0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TABER DAVID T							

X

POST OFFICE BOX 1009 SHINGLE SPRINGS, CA 95682 President and CEO

# **Signatures**

/s/ David T.
Taber

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Taber was awarded restricted shares of common stock that vest ratably over 5 years or 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2