

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
October 27, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HAYES GREGORY**

(Last) (First) (Middle)

**UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA**

(Street)

**HARTFORD, CT 06101**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**UNITED TECHNOLOGIES CORP /DE/ [UTX]**

3. Date of Earliest Transaction (Month/Day/Year)  
**10/26/2006**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**VP, Accounting and Finance**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code	V Amount or Price			
Common Stock	10/26/2006		M		15,800 A \$ 32.17	15,800	D	
Common Stock	10/26/2006		M		20,000 A \$ 31.705	35,800	D	
Common Stock	10/26/2006		S		5,800 D \$ 65.05	30,000	D	
Common Stock	10/26/2006		S		10,000 D \$ 65.02	20,000	D	
	10/26/2006		S		14,000 D \$ 65	6,000	D	

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Common Stock									
Common Stock	10/26/2006		S	3,000	D	\$ 65.03	3,000	D	
Common Stock	10/26/2006		S	3,000	D	\$ 65.07	0	D	
Common Stock							1,868.639	I	By Savings Plan Trustee
Common Stock							874	I	By Spouse
Common Stock							996.799	I	By Spouse's Savings Plan Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Option (right to buy)	\$ 32.17	10/26/2006		M	15,800	01/02/2005 01/01/2012	Common Stock	15	
Non-Qualified Stock Option (right to buy)	\$ 31.705	10/26/2006		M	20,000	01/02/2006 01/01/2013	Common Stock	20	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAYES GREGORY UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			VP, Accounting and Finance	

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 10/27/2006

\*\*Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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