

Edgar Filing: UNITED GUARDIAN INC - Form 8-K

UNITED GUARDIAN INC  
Form 8-K  
November 06, 2003

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

-----

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): November 5, 2003

UNITED-GUARDIAN, INC.

-----  
(Exact name of Registrant as Specified in Charter)

DELAWARE

1-10526

11-1719724

-----  
(State or Other Jurisdiction  
of Incorporation)

-----  
(Commission File Number)

-----  
(IRS Employer  
Identification No.)

230 Marcus Boulevard, Hauppauge, New York

11788

-----  
(Address of Principal Executive Offices)

-----  
(Zip Code)

Registrant's telephone number, including area code: (631) 273-0900

-----  
Not Applicable

-----  
(Former name or former address, if changed since last report)

Item 7. Financial Statements and Exhibits.

(c) Exhibits

99.1 - Earnings release dated November 5, 2003 for the three and  
nine months ended September 30, 2003.

Item 12. Results of Operations and Financial Condition

On November 5, 2003, United-Guardian, Inc. issued a press release  
announcing its financial results for the third quarter ended September 30, 2003.  
A copy of that press release is being furnished as Exhibit 99.1 to this  
Form 8-K.

The information contained in this Form 8-K and the Exhibit(s) attached  
hereto is being furnished pursuant to "Item 12. Disclosure of Results of

Edgar Filing: UNITED GUARDIAN INC - Form 8-K

Operations and Financial Condition", and shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section or of Sections 11 or 12(a)(2) of the Securities Act of 1933, as amended. Nor shall it be deemed incorporated by reference in any filing with the Securities and Exchange Commission, whether made before or after the date hereof, except as shall be expressly set forth by specific reference in such filing.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

UNITED-GUARDIAN, INC.

By:/s/ Kenneth H. Globus

-----  
Name: Kenneth H. Globus  
Title: President

November 6, 2003