

TAITRON COMPONENTS INC
Form 4
April 09, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KU TZU SHENG

2. Issuer Name and Ticker or Trading Symbol
TAITRON COMPONENTS INC
[tait]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/07/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

C/O TAITRON COMPONENTS, 28040 W HARRISON PKWY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

VALENCIA, CA 91355

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount (A) or (D) Price | | |
| Taitron Components Inc. Class A Common Stock | 04/07/2014 | 04/10/2014 | P | | 2,000 A \$ 0.99 | 284,920 | I 401K |
| Taitron Components Inc. Class A Common Stock | 04/07/2014 | 04/10/2014 | P | | 400 A \$ 0.999 | 285,320 | I 401K |

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| | | | | | | | | | |
|--|------------|------------|---|-------|---|---------|---------|---|------|
| Taitron Components Inc. Class A Common Stock | 04/07/2014 | 04/10/2014 | P | 600 | A | \$ 1 | 285,920 | I | 401K |
| Taitron Components Inc. Class A Common Stock | 04/08/2014 | 04/11/2014 | P | 2,000 | A | \$ 1 | 287,920 | I | 401K |
| Taitron Components Inc. Class A Common Stock | 04/09/2014 | 04/12/2014 | P | 1,500 | A | \$ 0.99 | 289,420 | I | 401K |
| Taitron Components Inc. Class A Common Stock | 04/09/2014 | 04/12/2014 | P | 2,000 | A | \$ 0.98 | 291,420 | I | 401K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

KU TZU SHENG
C/O TAITRON COMPONENTS X X Chairman
28040 W HARRISON PKWY
VALENCIA, CA 91355

Signatures

Stewart Wang 04/09/2014

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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