LUCENT TECHNOLOGIES INC

Form 4

November 30, 2006

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

Expires: 2005 Estimated average

January 31,

burden hours per response... 0.5

if no longer

subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Hitchcock David W

2. Issuer Name and Ticker or Trading Symbol

LUCENT TECHNOLOGIES INC

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Street)

(Ctata)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

X_ Officer (give title below)

10% Owner _ Other (specify

600 MOUNTAIN AVENUE

11/30/2006

[LU]

Filed(Month/Day/Year)

below)

Director

Corporate Controller

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

MURRAY HILL, NJ 07974

(City)	(State) (Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own								
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securities Acquired on(A) or Disposed of			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)		any Code (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			5)	Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		,	Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock	11/30/2006		D	5,732 (1)	D	\$ 0	0 (1)	D		
Common Stock	11/30/2006		D	51.199 (1)	D	\$0	0 (1)	Ι	By Wife's 401K account	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: LUCENT TECHNOLOGIES INC - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to buy)	\$ 1.42	11/30/2006		D	37,500	11/30/2006	12/15/2009	Common Stock	37,500
Employee Stock Options (Right to buy)	\$ 1.78	11/30/2006		D	30,000	11/30/2006	11/24/2007	Common Stock	30,000
Employee Stock Options (Right to buy)	\$ 2.42	11/30/2006		D	92,361	(3)	10/31/2013	Common Stock	92,361
Employee Stock Options (Right to buy)	\$ 2.82	11/30/2006		D	50,000	<u>(4)</u>	11/30/2012	Common Stock	50,000
Employee Stock Options (Right to buy)	\$ 3.09	11/30/2006		D	62,500	<u>(5)</u>	04/02/2013	Common Stock	62,500
Employee Stock Options (Right to buy)	\$ 3.21	11/30/2006		D	45,000	11/30/2006	11/30/2010	Common Stock	45,000
Employee Stock Options (Right to	\$ 3.955	11/30/2006		D	50,000	<u>(6)</u>	11/30/2011	Common Stock	50,000

buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hitchcock David W 600 MOUNTAIN AVENUE MURRAY HILL, NJ 07974

Corporate Controller

Signatures

David W. Hitchcock, by William R. Cararpezzi, Jr., as attorney-in-fact

11/30/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (4) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 12/1/2006.
- (6) On 11/30/2006, there were 12,500 options under this grant that were fully vested and exercisable. The remaining options, after conversion to Alcatel-Lucent options, will vest in three equal annual installments beginning on 12/1/2006.
- Pursuant to the terms of the merger agreement, each option to purchase Lucent stock was converted into the right to purchase 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).
- (3) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 11/1/2007.
- (5) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 4/3/2007.
- (1) Pursuant to the terms of the merger agreement between Alcatel and Lucent Technologies Inc., each share of Lucent common stock, par value \$.01 per share, was converted into 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3