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GLU MOBILE INC

Form 3 March 21, 2007

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement GLU MOBILE INC [GLUU] A Pimentel Albert A (Month/Day/Year) 03/21/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) GLU MOBILE, INC., 1800 (Check all applicable) GATEWAY DRIVE, SECOND **FLOOR** 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Exec. Vice President and CFO _X_ Form filed by One Reporting Person SAN MATEO. CAÂ 94404 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 212,642 (1) Â Common Stock D As Custodian for Minor Children Common Stock 7,866 I (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisal Expiration Date (Month/Day/Year) Date Exercisable | | 3. Title and A Securities Un Derivative So (Instr. 4) Title | nderlying | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|------------|---|-----------|---|---|--|
| Employee Stock Option (right to buy | 04/28/2006 <u>(3)</u> | 04/28/2010 | Common Stock | 104,958 | \$ 4.5 | D | Â |
| Employee Stock Option (right to buy | 09/07/2007(3) | 09/07/2016 | Common Stock | 91,666 | \$ 10.53 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | |
|----------------------------------|----------|---------------|---------------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Pimentel Albert A | | | | | | |
| GLU MOBILE, INC. | â | â | Exec. Vice President and CFO | â | | |
| 1800 GATEWAY DRIVE, SECOND FLOOR | А | А | A Exec. vice Flesidelli and CFO | A | | |
| SAN MATEO, CA 94404 | | | | | | |

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Signatures

/s/ Albert A. Pimentel by Kevin Chou, Attorney-in-Fact 03/21/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 95,156 shares subject to a right of repurchase, which right lapses as to 4,757 shares each month over the next 20 months.
- (2) These securities are held in custodial accounts for Mr. Pimentel's minor children.
- Option vests over four years with 25% of the shares vesting one year after grant, and the remaining shares vesting in equal monthly installments thereafter for a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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