

VIALTA INC

Form 3

October 13, 2005

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â CHAN ANNIE M H

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

08/21/2001

3. Issuer Name **and** Ticker or Trading Symbol  
VIALTA INC [VLTA]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)19770 STEVENS CREEK  
BLVD.

(Street)

(Check all applicable)

☐ Director ☒ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

CUPERTINO,Â CAÂ 95014

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Class A Common Stock

13,628,050 <sup>(1)</sup>D <sup>(2)</sup>

Â

Class A Common Stock

637,084 <sup>(1)</sup>I <sup>(3)</sup>

See Footnote

Class A Common Stock

962,832 <sup>(1)</sup>I <sup>(4)</sup> <sup>(10)</sup>

See Footnotes

Class A Common Stock

962,830 <sup>(1)</sup>I <sup>(5)</sup> <sup>(10)</sup>

See Footnotes

Class A Common Stock

330,960 <sup>(1)</sup>I <sup>(6)</sup> <sup>(10)</sup>

See Footnotes

Class A Common Stock

2,094,268 <sup>(1)</sup>I <sup>(7)</sup> <sup>(10)</sup>

See Footnotes

Class A Common Stock

4,400,000 <sup>(1)</sup>I <sup>(8)</sup>

See Footnote

Class A Common Stock

8,800,000 <sup>(1)</sup>I <sup>(9)</sup>

See Footnote

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) |                 | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) |                            | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 5) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|-----------------|--|----------------------------|--|--|--|
|   | Date Exercisable  | Expiration Date | Title  | Amount or Number of Shares |  |  |  |
| Non-qualified Stock Option                    | 07/03/2001 <sup>(11)</sup>                                  | 07/03/2006      | Common Stock   | 401,880 <sup>(1)</sup>     | \$ 0   | I  | Spouse - Fred S.L. Chan                                  |
| Non-qualified Stock Option                    | 01/03/2003 <sup>(12)</sup>                                  | 01/03/2011      | Common Stock   | 295,500 <sup>(1)</sup>     | \$ 0   | I  | Spouse - Fred S.L. Chan                                  |
| Incentive Stock Option                        | 09/01/2003 <sup>(13)</sup>                                  | 10/05/2009      | Common Stock   | 1,000,000 <sup>(1)</sup>   | \$ 0.275   | I  | Spouse - Fred S.L. Chan                                  |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| CHAN ANNIE M H<br>19770 STEVENS CREEK BLVD.<br>CUPERTINO, CA 95014 | Â             | Â X       | Â       | Â     |

## Signatures

/s/ Annie M.H. Chan 10/12/2005

                     \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares previously reported on Form 3 of Fred S.L. Chan filed July 24, 2001, as amended on August 13, 2002, and/or Form 4 of Fred S.L. Chan filed September 10, 2001, as amended on August 13, 2002.
- (2) Shares held by Mrs. Chan, of which 8,042,932 were transferred to Annie M.H. Chan TR UA 07-25-95, the Annie M.H. Chan Living Trust.
- (3) Shares held by Mr. Fred S.L. Chan, the spouse of Annie M.H. Chan. Mrs. Chan disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest.
- (4) The reported securities were held directly by the David Y.W. Chan Trust UA DTD 12-21-87 (the "David Chan Trust").
- (5) The reported securities were held directly by the Edward Y.C. Chan Trust UA DTD 12-21-87 (the "Edward Chan Trust").

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- (6) The reported securities were held directly by the Michael Y.J. Chan Trust UA DTD 3-16-92 (the "Michael Chan Trust").
- (7) The reported securities were held directly by the Shiu Leung Chan & Annie M.H. Chan Gift Trust Dated 11/20/92 (the "Chan Gift Trust").
- (8) The reported securities were held directly by Fred Chan, the spouse of Mrs. Chan. Mrs. Chan disclaims beneficial ownership of these securities except to the extent of any pecuniary interest.
- (9) The reported securities were held directly by Evershine XVI, L.P., of which Mr. and Mrs. Chan are the managing members of the sole general partner. Except to the extent of any indirect pecuniary interest therein, Mrs. Chan disclaims beneficial ownership of these securities.
- (10) The David Chan Trust, Edward Chan Trust, Michael Chan Trust and Chan Gift Trust were established by F. Chan and/or A. Chan for the benefit of their children. Mr. and Mrs. Chan are not trustees of these trusts and disclaim beneficial ownership of and any pecuniary interest in these securities.
- (11) These options vested over one year in equal monthly installments with the vesting commencement date as noted.
- (12) These options vested over four years in equal monthly installments with the vesting commencement date as noted.
- (13) These options vested in full one year from the vesting commencement date as noted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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