

LEATHERBY DENNIS

Form 4

December 01, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
LEATHERBY DENNIS

(Last) (First) (Middle)

2200 W DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TYSON FOODS INC [TSN]

3. Date of Earliest Transaction
(Month/Day/Year)

11/29/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common Stock	11/29/2017		M		10,508	A	\$ 58.34
							162,919.644 (1)
Class A Common Stock	11/29/2017		S		10,508	D	\$ 82.056 (2)
							152,411.644 (1)
Class A Common Stock	11/29/2017		M		12,254	A	\$ 50
							164,665.644 (1)
Class A Common	11/29/2017		S		12,254	D	\$ 82.058 (1)
							152,411.644 (1)

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Stock					(3)				
Class A Common Stock	11/29/2017	M	80,278	A	\$ 42.26	232,689.644 (1)	D		
Class A Common Stock	11/29/2017	S	80,278	D	\$ 82.073 (4)	152,411.644 (1)	D		
Class A Common Stock	11/30/2017	J(5)	41.0649	A	\$ 0	1,811.2893	I	Employee Stock Purchase Plan	
Class A Common Stock	11/30/2017	M	12,253	A	\$ 50	164,664.644 (1)	D		
Class A Common Stock	11/30/2017	S	12,253	D	\$ 82.247 (6)	152,411.644 (1)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Options (Right to Buy)	\$ 58.34	11/29/2017		M		10,508		11/28/2017	11/28/2026	Class A Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 50	11/29/2017		M		12,254		11/30/2016	11/30/2025	Class A Common Stock	12
Non-Qualified Stock Options (Right to Buy)	\$ 42.26	11/29/2017		M		80,278		11/21/2015	11/21/2024	Class A Common Stock	80

Non-Qualified									Class A
Stock Options	\$ 50	11/30/2017	M	12,253	11/30/2016	11/30/2025	Common	12	Stock
(Right to Buy)									

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
LEATHERBY DENNIS 2200 W DON TYSON PARKWAY SPRINGDALE, AR 72762	Chief Financial Officer

Signatures

/s/ Dennis
Leatherby

12/01/2017

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 8,634.783 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2018 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved and 7,354.251 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

(2) This is a weighted average price. These shares were sold in multiple transactions on November 29, 2017 at prices ranging from \$81.91 to \$82.29, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(3) This is a weighted average price. These shares were sold in multiple transactions on November 29, 2017 at prices ranging from \$81.92 to \$82.29, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(4) This is a weighted average price. These shares were sold in multiple transactions on November 29, 2017 at prices ranging from \$81.92 to \$82.38, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(5) Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.

(6) This is a weighted average price. These shares were sold in multiple transactions on November 30, 2017 at prices ranging from \$81.76 to \$82.70, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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