Edgar Filing: TYSON FOODS INC - Form 4

TYSON FC	ODS INC									
Form 4										
August 07,	2014									
FORM			GEOU					т	OMB APPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								NOMB	3235-0287	
Check t					,			Expires:	January 31,	
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									2005	
Section 16. SECURITIES								Estimated burden hou	•	
	Form 4 or									
Form 5 obligation	-						nge Act of 1934,			
may cor				•	•	- ·	of 1935 or Section	on		
See Inst	ruction	30(h)	of the I	nvestmen	Compar	y Act of 1	.940			
1(b).										
(Print or Type	Responses)									
	Address of Reporting		2. Issuer Name and Ticker or Trading			5. Relationship o Issuer	of Reporting Per	Reporting Person(s) to		
TYSON LI	Symbol			~ ~ ~	Issuer					
			TYSO.	N FOODS	S INC [T	SNJ	(Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction							
	TYSON PARKV	X7 A X7	(Month/Day/Year)			DirectorX10% Owner Officer (give title Other (specify				
2200 DON	08/05/2014			below) below)						
(Street)			4. If Amendment, Date Original			1	6. Individual or Joint/Group Filing(Check			
	Filed(Mo	onth/Day/Yea	r)		Applicable Line)					
X Form filed by One F									e Reporting Person re than One Reporting	
SPRINGD.	ALE, AR 72762						Person		oporting	
(City)	(State)	(Zip)	Tal	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned	
1.Title of	2. Transaction Date	2A. Deem	ed	3.	4. Securit	ies	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	ay/Year) Execution			nAcquired			Form: Direct	Indirect	
(Instr. 3)		any (Month/Da	w/Vear)	Code (Instr. 8)	Disposed (Instr. 3, 4		Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
			ay/ 1 cai)	(Insu. 0)	(111501. 5, -	f and <i>J</i>)		(Instr. 4)	(Instr. 4)	
						(A)	Reported			
						or	Transaction(s) (Instr. 3 and 4)			
				Code V	Amount	(D) Price	(Insu: 5 und 1)			
Reminder: Re	port on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
					Perso	ns who res	spond to the colle	ction of	SEC 1474	
							tained in this form		(9-02)	
							ond unless the fo ntly valid OMB co			
					numb		,			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or		

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	Derivative Security			Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
4.75% Tangible Equity Units	<u>(1)</u>	08/05/2014	J		700,000		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	925,960 (2)

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TYSON LIMITED PARTNERSHIP 2200 DON TYSON PARKWAY SPRINGDALE, AR 72762	Х						
Signatures							
Harry C. Erwin, III, General Partner	08/07/2014						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each 4.75% Tangible Equity Unit (TEU) includes a prepaid stock purchase contract that will automatically settle on July 15, 2017 (subject to postponement in certain limited circumstances) for between 1.0582 and 1.3228 shares of the Issuer's Class A common stock (subject to adjustment in certain circumstances), based upon the applicable market value of the Issuer's Class A common stock prior to

- (1) settlement. The Reporting Person may elect to settle the purchase contracts prior to July 15, 2017 at the minimum settlement rate of 1.0582 shares of the Issuer's Common Stock, and the Issuer may elect to settle the purchase contracts prior to such date at the maximum settlement rate of 1.3228 shares of the Issuer's Class A common stock. The purchase contracts may also be settled early in connection with certain fundamental changes of the Issuer.
- (2) Represents the maximum number of shares of the Issuer's Class A common stock initially issuable upon settlement of the purchase contracts included in the TEUs based on the maximum settlement rate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.