

Edgar Filing: LAMMERDING EDWARD - Form 4

LAMMERDING EDWARD  
 Form 4  
 November 13, 2001

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION

FORM 4 Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person*/ |         |          | 2. Issuer Name and Ticker or Trading Symbol                                   | 6. Relationship to Issuer   |
|---|---------|----------|---|---|
| (Last)                                    | (First) | (Middle) | ALTERNATIVE TECHNOLOGY RESOURCES, INC.  | (Check one)   |
| LAMMERDING,                               | EDWARD  |          | ("ATEK")  | -X-   |
| -----                                     |         |          |   | ---   |
|   |         |          |   | (give full name)  |
| -----                                     |         |          |   |   |
|   |         |          | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) | 7. Indicate whether the acquisition was made in exchange for securities of the issuer |
|   |         |          |   | X   |
|   |         |          | 4. Statement for Month/Year   |   |
|   |         |          | 10/2001   |   |
| -----                                     |         |          |   |   |
| 629 J STREET                              |         |          | 5. If Amendment, Date of Original   |   |
| (Street)                                  |         |          | Month/Year)   |   |
| -----                                     |         |          |   |   |
| SACRAMENTO,                               | CA      | 95037    |   |   |
| (City)                                    | (State) | (Zip)    |   |   |
| -----                                     |         |          |   |   |

Edgar Filing: LAMMERDING EDWARD - Form 4

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

| 1. Title of Security<br>(Instr. 3) | 2. Transaction Date<br>(Mo./Day/Yr.) | 3. Transaction<br>Code (Instr. 8.) |   | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |            |         | 5. Amount of<br>Securities<br>Beneficial<br>Owned at End<br>of Month<br>(Instr. 3, 4) |
|------------------------------------|--------------------------------------|------------------------------------|---|--|------------|---------|---|
|                                    |                                      | Code                               | V | Amount   | (A) or (D) | Price   |   |
| Common Stock                       | 10/12/2001                           | M                                  |   | 4,000  | A          | \$1.344 |   |
| Common Stock                       | 10/12/2001                           | G                                  |   | 4,000  | D          |         | 0   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \*If the form is filed by more than one reporting person, see Instruction 4 (b) (v).

2

FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title<br>of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Trans-<br>action<br>Date<br>(Mo./Day/<br>Yr.) | 4. Trans-<br>action<br>Code<br>(Instr. 8) |   | 5. Number<br>of Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3, 4, 5) |   | 6. Date<br>Exercisable<br>and Expira-<br>tion Date<br>(Mo./Day/Yr.)<br>Date |                         | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4)<br>Amount or<br>Number of<br>Title Shares | 8. Pri-<br>ority<br>of D<br>viti<br>Secu<br>(Ins<br>5) |
|--|--|--|---|---|---|---|---|-------------------------|---|--|
|  |  |  | Code                                      | V | A   | D | Exer-<br>cise-<br>able  | Expira-<br>tion<br>Date |   |  |
| OPTIONS<br>TO<br>PURCHASE<br>COMMON<br>STOCK           | \$1.344  | 10/12/01   | M   |   | 4,000   |   | Immedi-<br>ately  | 01/02/11                | Common<br>Stock   | 4,000  |

Edgar Filing: LAMMERDING EDWARD - Form 4

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

/s/ EDWARD LAMMERDING

11-09-01

-----

-----

Edward Lammerding

Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.