

Edgar Filing: Leonard A. Lauder 2013 Revocable Trust - Form 4

Leonard A. Lauder 2013 Revocable Trust
Form 4
August 29, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Leonard A. Lauder 2013 Revocable
Trust

(Last) (First) (Middle)

C/O THE ESTEE LAUDER
COMPANIES INC., 767 FIFTH
AVENUE

(Street)

NEW YORK, NY 10153

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
ESTEE LAUDER COMPANIES
INC [EL]

3. Date of Earliest Transaction
(Month/Day/Year)
08/25/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
__X__ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
Class A Common Stock	08/25/2017		G ⁽¹⁾	V 10,000 D <u>(2)</u>	1,549,838	D ⁽³⁾	
Class A Common Stock	08/25/2017		G ⁽¹⁾	V 10,000 A <u>(2)</u>	10,000	D ⁽⁴⁾	
Class A Common Stock	08/25/2017		G ⁽⁵⁾	V 10,000 D <u>(2)</u>	0	D ⁽⁴⁾	

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Class A Common Stock	08/25/2017	G ⁽⁵⁾	V	10,000	A	<u>2</u>	10,000	I ⁽⁶⁾ ⁽⁷⁾	Spouse of LAL.
Class A Common Stock	08/25/2017	G ⁽⁸⁾	V	10,000	D	<u>2</u>	0	I ⁽⁶⁾ ⁽⁷⁾	Spouse of LAL.
Class A Common Stock	08/25/2017	G ⁽⁸⁾	V	10,000	A	<u>2</u>	10,000	I ⁽⁶⁾ ⁽⁷⁾	Revocable trust of spouse of LAL.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Leonard A. Lauder 2013 Revocable Trust C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153			X	
LAUDER LEONARD A C/O THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153	X	X	Chairman Emeritus	

Signatures

Leonard A. Lauder, Trustee, by Maureen Sladek,
attorney-in-fact

08/29/2017

__Signature of Reporting Person

Date

Leonard A. Lauder, by Maureen Sladek, attorney-in-fact

08/29/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Leonard A. Lauder 2013 Revocable Trust ("LAL Rev Trust") transferred the shares to Leonard A. Lauder ("LAL") for no consideration.
- (2) Not applicable.
- (3) Directly held by LAL Rev Trust.
- (4) Directly held by LAL.
- (5) LAL transferred the shares to his wife, Judith Glickman Lauder ("JGL"), for no consideration.
- (6) Indirectly held by LAL through JGL.
- (7) LAL disclaims beneficial ownership of securities owned by JGL.
- (8) JGL transferred the shares to a revocable trust of JGL for no consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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